

PATENT  
450110-03707

GP 12/21/02  
#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jonathan James STONE et al.  
Serial No. : 10/006,481  
For : WATERMARKING AND TRANSFERRING  
MATERIAL  
Filed : December 6, 2001  
Examiner : To Be Assigned  
Art Unit : 2121

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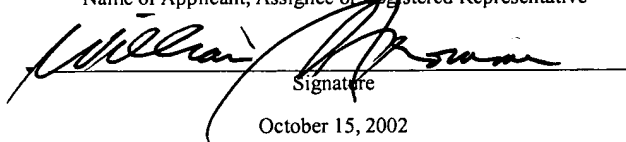
**Technology Center 2100**

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on October 15, 2002.

William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or Registered Representative

  
Signature

October 15, 2002

Date of Signature

**PETITION UNDER 37 CFR 1.48 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a Petition to correct the inventorship of the above-identified application.

Accompanying this Petition are the following documents:

1. An Amendment to add as an inventor Mr. Andrew Collins, who inadvertently was omitted as a named inventor from the originally filed Declaration.

2. A Statement of Facts verified by Mr. Richard W. Pratt, the U.K. representative who prepared the patent application papers for filing in the instant case, establishing that the error in

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omitting Mr. Collins was made without deceptive intent, stating when the error was discovered and stating how the error occurred.

3. A Declaration signed by all of the properly named inventors, as required by 37 CFR 1.63.

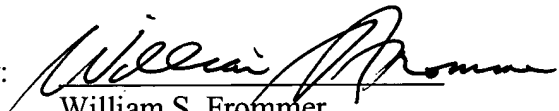
4. The fee set forth in 37 CFR 1.17 (h).

5. The written consent of Sony United Kingdom Limited, the assignee of the instant application.

6. Certificate under 37 CFR 3.73 (b) certifying that Sony United Kingdom Limited is the assignee of the entire right, title and interest in the above-identified patent application.

In light of the accompanying documents and the enclosed fee, correction of the inventorship of the above-identified application is respectfully solicited.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:   
William S. Frommer  
Reg. No. 25,506  
(212) 588-0800

Encs. - Amendment  
- Statement of Facts  
- Declaration  
- Fee  
- Written Consent  
- Certificate Under 37 C.F.R. 3.73(b)

WSF:lf



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William S. Frommer, Reg. No. 25,506

\_\_\_\_\_  
Name of Applicant, Assignee or Registered Representative

  
\_\_\_\_\_  
Signature

October 15, 2002

\_\_\_\_\_  
Date of Signature

**AMENDMENT TO CORRECT**  
**INVENTORSHIP PURSUANT TO 37 CFR 1.48 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please amend the above-identified application by adding Mr. Andrew Collins as an inventor of the subject matter disclosed and claimed in said application.

**REMARKS**

( This amendment is requested pursuant to the provisions of 37 CFR 1.48(a) because the omission of Mr. Collins as a named inventor was made through error and without any deceptive intention by less than all of the actual joint inventors.

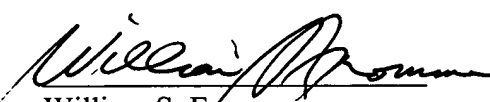
As stated in the accompanying Verified Statement of Facts, the invention disclosed and claimed in this application 10/006,481 was invented jointly by Jonathan James Stone, Jason Charles Pelly, Paul Gugenheim, Isabel Delacour and Richard Foster. At least one of the claims of this application covers subject matter that is disclosed in U.S. Application 10/158,346, filed May 30, 2002.

Mr. Collins is the inventor of subject matter described in U.S. application 10/158,346 and covered by at least one of the claims of the instant application 10/006,481 .

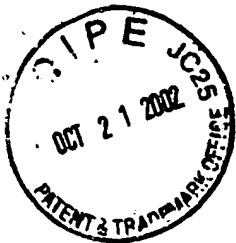
Mr. Richard W. Pratt, the U.K. representative of assignee Sony United Kingdom Limited, prepared the above-mentioned U.S. applications and the U.K. applications to which claims of priority have been made. Subsequent to the filing of the signed Declaration in the present U.S. application, but prior to the May 30, 2002 filing of U.S. Application 10/158,346 Mr. Pratt reviewed the files of these U.K. and U.S. applications and discovered the inadvertent omission in the present U.S. application to identify Mr. Collins as a joint applicant thereof. Steps were taken promptly upon such discovery to correct the inventorship of the instant application.

In view of the foregoing and the accompanying Verified Statement of Facts, it is believed that this amendment has been prepared diligently. Therefore, it is respectfully requested that this application be amended to include Andrew Collins as a joint inventor in order to correct the inadvertent error that had been made without any deceptive intention.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:   
William S. Frommer  
Reg. No. 25,506  
(212) 588-0800

WSF:lf



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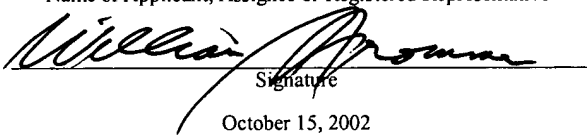
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William S. Frommer, Reg. No. 25,506

\_\_\_\_\_  
Name of Applicant, Assignee or Registered Representative

  
\_\_\_\_\_  
Signature

October 15, 2002

\_\_\_\_\_  
Date of Signature

**VERIFIED STATEMENT OF FACTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. The signed Declaration filed in this U.S. application on February 27, 2002 identifies Jonathan James Stone, Jason Charles Pelly, Paul Gugenheim, Isabel Delacour and Richard Foster as the applicants.

2. Another U.S. application was filed in the U.S. Patent and Trademark Office on May 30, 2002 with a claim of priority to British application 0121195.2, and was accorded Serial No. 10/158,346.

3. I Richard W. Pratt, am the U.K. representative of Sony United Kingdom Limited, the assignee of both U.S. application 10/006,481 and U.S. application 10/158,346. I prepared the claims that were filed in both of the above-identified applications.

4. William S. Frommer is the attorney of record of both of the said U.S. applications.

5. On or about May 17, 2002, I forwarded to Mr. Frommer, for filing in the USPTO, application papers that were filed as U.S. application 10/158,346, identifying Jonathan James Stone, Jason Charles Pelly, Stephen Mark Keating, Andrew Collins and Daniel Tapson as the inventors. U.S. application 10/158,346 disclosed and claimed the subject matter of British Application 0121195.2 and also disclosed and claimed subject matter invented by Andrew Collins.

6. At about that time, I observed that at least some of the claims that were filed in U.S. Application 10/006,481 covered subject matter disclosed in U.S. application 10/158,346 and invented by Andrew Collins.

7. Inadvertently, and without deliberate intent, Mr. Collins was not named as an inventor on U.S. Application 10/006,481 because, at the time I prepared the specification and claims that were filed as U.S. Application 10/006,481, I did not realize that such claims also covered, at least in part, the contribution made by Mr. Collins.

8. I discovered the omission of naming Mr. Collins as a joint applicant of U.S. application 10/006,481 when I reviewed and forwarded to Mr. Frommer the U.S. application

papers that were filed as U.S. application 10/158,346 , on which Mr. Collins properly is named as a co-inventor.

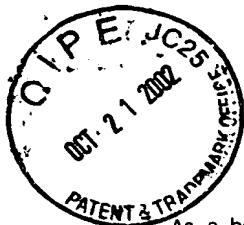
9. Upon discovering this inadvertent error, namely that Mr. Collins was omitted as an inventor of the present U.S. application, I requested Mr. Frommer to proceed promptly with the preparation of suitable documents to correct of this error.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

  
\_\_\_\_\_  
Richard W. Pratt

9 October 2002  
Date





**DECLARATION FOR PATENT APPLICATION (JOINT OR SOLE)**

(Under 37 CFR § 1.63; with Power of Attorney)

**FROMMER LAWRENCE & HAUG LLP**

FLH File No. 450110-03707

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

**WATERMARKING AND TRANSFERRING MATERIAL**

**OCT 28 2002**

the specification of which

\_\_\_\_\_ is attached hereto.

X was filed on December 6, 2001 as Application Serial No. 10/006,481,

with amendment(s) through \_\_\_\_\_ (if applicable, give dates).

**Technology Center 2100**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) | [List additional applications on separate page]: | Priority Claimed:       |     |    |
|------------------------------|--------------------------------------------------|-------------------------|-----|----|
| Number:                      | Country:                                         | Filed (Day/Month/Year): | Yes | No |
| 0029851.3                    | U.K.                                             | 7 December 2000         | X   |    |
| 0121202.6                    | U.K.                                             | 31 August 2001          | X   |    |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [List additional applications on separate page]:  
Appln. Ser. Number: \_\_\_\_\_ Filed (Day/Month/Year): \_\_\_\_\_ Status (patented, pending, abandoned): \_\_\_\_\_

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930 or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

WILLIAM S. FROMMER, Esq.  
c/o FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, New York 10151

Direct all telephone calls to:  
(212) 588-0800  
to the attention of:  
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature: Jonathan James Stone  
Full name of sole or first inventor: Jonathan James STONE  
Residence: Reading/Berkshire, U.K.  
Citizenship: U.K.

Date: 30 September 2002

Signature: Jason Charles Pelly  
Full name of 2nd joint inventor (if any): Jason Charles Pelly  
Residence: Reading/Berkshire, U.K.  
Citizenship: U.K.

Date: 30 Sept 2002

Signature: Paul Gugenheim  
Full name of 3rd joint inventor (if any): Paul GUGENHEIM  
Residence: West Hampstead/London, U.K.  
Citizenship: U.K.

Date: 30 Sept 2002

[Similarly list additional inventors on separate page]

Post Office Address(es) of inventor(s):

[if all inventors have the same post office address]

SONY UNITED KINGDOM LIMITED  
The Heights, Brooklands  
Weybridge KT13 0XW, England, United Kingdom

Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.

## ADDITIONAL INVENTORS

Signature: Isabel Delacour Date: 30<sup>th</sup> September 2002  
Full name of 4th joint inventor (if any): Isabel DELACOUR  
Residence: Basingstoke/Hampshire, U.K.  
Citizenship: U.K.

Signature: R Foster Date: 30<sup>th</sup> September 2002  
Full name of 5th joint inventor (if any): Richard FOSTER  
Residence: Fordingbridge/Hampshire, U.K.  
Citizenship: U.K.

Signature: Andrew Collins Date: 30<sup>th</sup> September '02  
Full name of 5th joint inventor (if any): Andrew COLLINS  
Residence: Basingstoke, U.K.  
Citizenship: U.K.



I-00-153A

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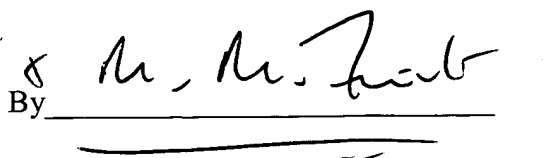
**CONSENT OF ASSIGNEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, an authorized representative of Sony United Kingdom Limited, the assignee of the above-identified application, is authorized to and hereby does consent to the amendment of this application on behalf of Sony United Kingdom Limited to add Andrew Collins as an inventor.

Sony United Kingdom Limited

By 



J-00-153A

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**CERTIFICATE UNDER 37 CFR 3.73 (b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Sony United Kingdom Limited, a corporation of the United Kingdom, certifies that it is  
the assignee of the entire right, title and interest in the patent application identified above by  
virtue of an Assignment from the inventors recorded in the Patent and Trademark Office on  
February 27, 2002 at Reel 012647, Frame 0898.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Sony United Kingdom Limited

x  
By *d. m. Hart*